

Sheet 1 of 1							
FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary) Date Submitted to PTO: December 2, 2003	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 30%; padding: 2px;">ATTY DOCKET NO. 2003-1740</td> <td style="width: 70%; padding: 2px;"> SERIAL NO. <u>10/724,625</u> NEW </td> </tr> <tr> <td colspan="2" style="padding: 2px;"> APPLICANT Masahiro UEKAWA et al. </td> </tr> <tr> <td style="width: 30%; padding: 2px;"> FILING DATE December 2, 2003 </td> <td style="width: 70%; padding: 2px;"> GROUP <u>2873</u> </td> </tr> </table>	ATTY DOCKET NO. 2003-1740	SERIAL NO. <u>10/724,625</u> NEW	APPLICANT Masahiro UEKAWA et al.		FILING DATE December 2, 2003	GROUP <u>2873</u>
ATTY DOCKET NO. 2003-1740	SERIAL NO. <u>10/724,625</u> NEW						
APPLICANT Masahiro UEKAWA et al.							
FILING DATE December 2, 2003	GROUP <u>2873</u>						

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
RM	AA	4,059,345	Kawamura et al.				
RM	AB	4,147,403	d'Auria et al.				
RM	AC	6,456,766	Shaw et al.				
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS							
DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO		
RM	AJ	11-295561	10/1999	JP		abstract	
RM	AK	7-199006	8/1995	JP		abstract	
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)		
RM	AO	Kazuhiro TANAKA et al., "High Optical Coupling Scheme in LD Modules with Silicon Platform Technology", IEICE TRANSE, ELECTRON, VOL. E80-C, No. 1, January 1997 pgs. 107-110.
RM	AP	Hongtao Han et al., "Integration of silicon bench micro-optics", Proc. SPIE, Vol. 3631, pgs. 234-243.
	AQ	

EXAMINER <u>X. Mack</u>	DATE CONSIDERED <u>6/13/04</u>
-------------------------	--------------------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.